

Dirac's bra-ket formalism and the rigged Hilbert space

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A brief History of the rigged Hilbert space



Dirac's bra-ket formalism

- Left and a right eigenvectors:

$$A|a_n\rangle = a_n|a_n\rangle; \quad A|a\rangle = a|a\rangle \quad (1)$$

$$\langle a_n|A = a_n\langle a_n|; \quad \langle a|A = a\langle a| \quad (2)$$

- Completeness relations:

$$I = \sum_n |a_n\rangle\langle a_n| + \int da |a\rangle\langle a| \quad (3)$$

$$\varphi = \sum_n |a_n\rangle\langle a_n|\varphi\rangle + \int da |a\rangle\langle a|\varphi\rangle \quad (4)$$

- Normalization

$$\langle a_n|a_m\rangle = \delta_{nm}; \quad \langle a|a'\rangle = \delta(a - a') \quad (5)$$

- Algebraic operations, e.g., commutation relations,

$$[A, B] = AB - BA \quad (6)$$



Hilbert space implementation

■ Von Neumann

1. *“Dirac has given a representation of quantum mechanics which is scarcely to be surpassed in brevity and elegance, [...]”*
2. *“The method of Dirac, mentioned above, (and this is overlooked today in a great part of quantum mechanical literature, because of the clarity and elegance of the theory) in no way satisfies the requirements of mathematical rigor – not even if these are reduced in a natural and proper fashion to the extent common elsewhere in theoretical physics.”*
3. *“[...], this requires the introduction of ‘improper’ functions with self-contradictory properties. The insertion of such mathematical ‘fiction’ is frequently necessary in Dirac’s approach, [...]”*

[J. von Neumann, *Mathematical Foundations of Quantum Mechanics*, Princeton University Press, Princeton (1955)]

■ Dirac: *“the bra and ket vectors that we now use form a more general space than a Hilbert space.”*

[P.A.M. Dirac, *The principles of Quantum Mechanics*, 3rd ed., Clarendon Press, Oxford (1947)]



Implementation in the rigged Hilbert space

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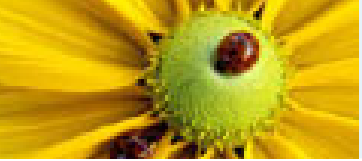
Conclusions

- Schwartz
- Gelfand and his school 1950s
- Maurin
- Roberts, Bohm, Antoine 1960s
- Shortcomings: No systematic procedure to construct RHSs



Implementation in the rigged Hilbert space

- ◆ D. Atkinson, P.W. Johnson, *Quantum Field Theory – a Self-Contained Introduction*, Rinton Press (2002).
- ◆ N.N. Bogolubov, A.A. Logunov, I.T. Todorov, *Introduction to Axiomatic Quantum Field Theory*, Benjamin, Reading (1975).
- ◆ L.E. Ballentine, *Quantum Mechanics*, Prentice-Hall International (1990).
- ◆ A. Bohm, *Quantum Mechanics: Foundations and Applications*, Springer-Verlag (1994).
- ◆ A.Z. Capri, *Nonrelativistic Quantum Mechanics*, Benjamin (1985).
- ◆ D.A. Dubin, M.A. Hennings, *Quantum Mechanics, Algebras and Distributions*, Longman (1990).
- ◆ A. Galindo, P. Pascual, *Quantum Mechanics*, Springer-Verlag (1990).
- ◆ V.I. Kukulin, V.M. Krasnopol'sky, J. Horáček, *Theory of Resonances*, Kluwer Academic (1988).



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- “...rigged Hilbert space seems to be a more natural mathematical setting for quantum mechanics than Hilbert space”

[L.E. Ballentine, *Quantum Mechanics* (1990), page 19]



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1. Identify the observables of the system



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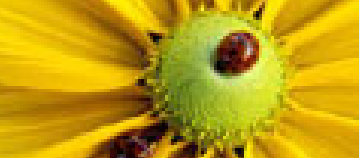
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1. Identify the observables of the system
2. Identify the Hilbert space



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1. Identify the observables of the system
2. Identify the Hilbert space
3. Identify the domains, spectra and eigenfunctions of the observables



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1. Identify the observables of the system
2. Identify the Hilbert space
3. Identify the domains, spectra and eigenfunctions of the observables
4. Construct Φ



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1. Identify the observables of the system
2. Identify the Hilbert space
3. Identify the domains, spectra and eigenfunctions of the observables
4. Construct Φ
5. Construct the dual Φ' and Φ^\times



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1. Identify the observables of the system
2. Identify the Hilbert space
3. Identify the domains, spectra and eigenfunctions of the observables
4. Construct Φ
5. Construct the dual Φ' and Φ^\times
6. All the features of Dirac's bra-ket formalism make sense within

$$\Phi \subset \mathcal{H} \subset \Phi' \quad (7)$$

and

$$\Phi \subset \mathcal{H} \subset \Phi^\times \quad (8)$$



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and

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- Illustrative example: 1D rectangular barrier potential



Step 1: Identify the observables

- Position, momentum and energy

$$Qf(x) = xf(x) \quad (9)$$

$$Pf(x) = -i\hbar \frac{d}{dx} f(x) \quad (10)$$

$$Hf(x) = \left(-\frac{\hbar^2}{2m} \frac{d^2}{dx^2} + V(x) \right) f(x) \quad (11)$$

where

$$V(x) = \begin{cases} 0 & -\infty < x < a \\ V_0 & a < x < b \\ 0 & b < x < \infty \end{cases} \quad (12)$$

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where

$$V(x) = \begin{cases} 0 & -\infty < x < a \\ V_0 & a < x < b \\ 0 & b < x < \infty \end{cases} \quad (12)$$

- The observables satisfy

$$[Q, P] = i\hbar I; \quad [H, Q] = -i\frac{\hbar}{m}P; \quad [H, P] = i\hbar \frac{\partial V}{\partial x} \quad (13)$$

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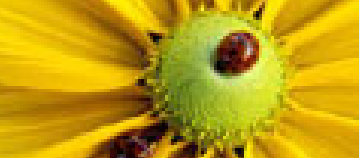
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■ The Hilbert space is

$$L^2 = \{f(x) \mid \int_{-\infty}^{\infty} dx |f(x)|^2 < \infty\} \quad (14)$$



Steps 2 and 3: Hilbert space and domains

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Conclusions

- The Hilbert space is

$$L^2 = \{f(x) \mid \int_{-\infty}^{\infty} dx |f(x)|^2 < \infty\} \quad (14)$$

- The corresponding scalar product is

$$(f, g) = \int_{-\infty}^{\infty} dx \overline{f(x)} g(x), \quad f, g \in L^2 \quad (15)$$



Steps 2 and 3: Hilbert space and domains

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- The corresponding scalar product is

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- The domains of the observables are

$$\mathcal{D}(Q) = \{f \in L^2 \mid xf \in L^2\} \quad (16)$$

$$\mathcal{D}(P) = \{f \in L^2 \mid f \in AC, Pf \in L^2\} \quad (17)$$

$$\mathcal{D}(H) = \{f \in L^2 \mid f \in AC^2, Hf \in L^2\} \quad (18)$$



Step 3: spectra and eigenfunctions

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- The spectra are continuous

$$\text{Sp}(Q) = \text{Sp}(P) = (-\infty, \infty); \quad \text{Sp}(H) = [0, \infty) \quad (19)$$



Step 3: spectra and eigenfunctions

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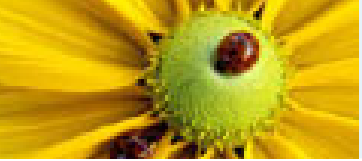
$$\text{Sp}(Q) = \text{Sp}(P) = (-\infty, \infty); \quad \text{Sp}(H) = [0, \infty) \quad (19)$$

- The eigenfunctions of Q are delta functions,

$$\langle x|x' \rangle = \delta(x - x') \quad (20)$$

- The eigenfunctions of P are plane waves,

$$\langle x|p \rangle = \frac{e^{ipx/\hbar}}{\sqrt{2\pi\hbar}} \quad (21)$$



Step 3

- The eigenfunctions of H are

$$\langle x|E^+\rangle_r = \left(\frac{m}{2\pi k\hbar^2}\right)^{1/2} \times \begin{cases} T(k)e^{-ikx} & -\infty < x < a \\ A_r(k)e^{i\kappa x} + B_r(k)e^{-i\kappa x} & a < x < b \\ R_r(k)e^{i\kappa x} + e^{-ikx} & b < x < \infty \end{cases} \quad (22)$$

$$\langle x|E^+\rangle_l = \left(\frac{m}{2\pi k\hbar^2}\right)^{1/2} \times \begin{cases} e^{ikx} + R_l(k)e^{-ikx} & -\infty < x < a \\ A_l(k)e^{i\kappa x} + B_l(k)e^{-i\kappa x} & a < x < b \\ T(k)e^{i\kappa x} & b < x < \infty \end{cases} \quad (23)$$

- Continuous spectrum and the non-invariance of the domains under the action of the Hamiltonian will force us to use the rigged Hilbert space



Step 3

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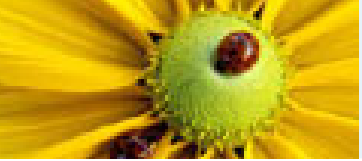
- Continuous spectrum and the non-invariance of the domains under the action of the Hamiltonian will force us to use the rigged Hilbert space



Step 4

- Expectation values must be well defined:

$$(\varphi, A\varphi), \quad \varphi \in \mathcal{D}(A) \text{ only,} \quad A = P, Q, H \quad (24)$$



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- Uncertainties must be well defined:

$$\Delta_{\varphi}A = \sqrt{(\varphi, A^2\varphi) - (\varphi, A\varphi)^2}, \quad \varphi \in \mathcal{D}(|A|) \text{ only,} \quad A = P, Q, H \quad (25)$$



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- Algebraic operations such as the commutator of two operators must be well defined:

$$[A, B]\varphi = AB\varphi - BA\varphi, \quad \varphi \in \mathcal{D}(AB), \mathcal{D}(BA) \text{ only,} \quad A, B = P, Q, H \quad (26)$$



Step 4

■ Maximal invariant subspace

$$\Phi = \bigcap_{\substack{n,m=0 \\ A,B=Q,P,H}}^{\infty} \mathcal{D}(A^n B^m) \quad (27)$$



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■ In our example

$$\Phi = \{ \varphi \in L^2 \mid \varphi \in C^\infty(\mathbb{R}), \varphi^{(n)}(a) = \varphi^{(n)}(b) = 0, \ n = 0, 1, \dots \\ P^n Q^m H^l \varphi(x) \in L^2, \ n, m, l = 0, 1, \dots \} \quad (28)$$



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■ Schwartz-like space



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■ Dual (Φ') and antidual (Φ^\times) spaces

■ We have

$$\Phi \subset \mathcal{H} \subset \Phi^\times \quad (29)$$

$$\Phi \subset \mathcal{H} \subset \Phi' \quad (30)$$

■ Antilinear functional

$$F(\varphi) \equiv \int dx \overline{\varphi(x)} f(x) \quad (31)$$

$$\langle \varphi | F \rangle = \int dx \langle \varphi | x \rangle \langle x | f \rangle \quad (32)$$

■ Linear functional

$$\langle F | \varphi \rangle = \tilde{F}(\varphi) \equiv \int dx \varphi(x) \overline{f(x)} = \int dx \langle f | x \rangle \langle x | \varphi \rangle \quad (33)$$



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Kets

■ Momentum

$$\langle \varphi | p \rangle \equiv \int_{-\infty}^{\infty} dx \overline{\varphi(x)} \frac{1}{\sqrt{2\pi\hbar}} e^{ipx/\hbar} \equiv \int_{-\infty}^{\infty} dx \langle \varphi | x \rangle \langle x | p \rangle \quad (34)$$



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■ Position

$$\langle \varphi | x \rangle \equiv \int_{-\infty}^{\infty} dx' \overline{\varphi(x')} \delta(x - x') \equiv \int_{-\infty}^{\infty} dx' \langle \varphi | x' \rangle \langle x' | x \rangle \quad (35)$$



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■ Energy

$$\langle \varphi | E^+ \rangle_{l,r} \equiv \int_{-\infty}^{\infty} dx \overline{\varphi(x)} \langle x | E^+ \rangle_{l,r} \equiv \int_{-\infty}^{\infty} dx \langle \varphi | x \rangle \langle x | E^+ \rangle_{l,r} \quad (36)$$



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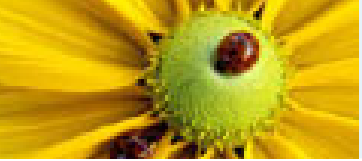
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■ $|p\rangle$, $|x\rangle$ and $|E^+\rangle_{l,r}$ belong to Φ^\times



■ Momentum

$$\langle p|\varphi\rangle \equiv \int_{-\infty}^{\infty} dx \varphi(x) \frac{1}{\sqrt{2\pi\hbar}} e^{-ipx/\hbar} \equiv \int_{-\infty}^{\infty} dx \langle p|x\rangle \langle x|\varphi\rangle \quad (37)$$

■ Position

$$\langle x|\varphi\rangle \equiv \int_{-\infty}^{\infty} dx' \varphi(x') \delta(x - x') \equiv \int_{-\infty}^{\infty} dx' \langle x|x'\rangle \langle x'|\varphi\rangle \quad (38)$$

■ Energy

$${}_{1,r}\langle^+ E|\varphi\rangle \equiv \int_{-\infty}^{\infty} dx \varphi(x) {}_{1,r}\langle^+ E|x\rangle \equiv \int_{-\infty}^{\infty} dx {}_{1,r}\langle^+ E|x\rangle \langle x|\varphi\rangle \quad (39)$$

■ $\langle p|$, $\langle x|$ and ${}_{1,r}\langle^+ E|$ belong to Φ'



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■ Ket eigenequations

$$P|p\rangle = p|p\rangle, \quad p \in \mathbb{R} \quad (40)$$

$$Q|x\rangle = x|x\rangle, \quad x \in \mathbb{R} \quad (41)$$

$$H|E^+\rangle_{l,r} = E|E^+\rangle_{l,r}, \quad E \in [0, \infty) \quad (42)$$



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■ Bra eigenequations

$$\langle p|P = p\langle p|, \quad p \in \mathbb{R} \quad (43)$$

$$\langle x|Q = x\langle x|, \quad x \in \mathbb{R} \quad (44)$$

$${}_{l,r}\langle^+ E|H = E {}_{l,r}\langle^+ E|, \quad E \in [0, \infty) \quad (45)$$



Further results

- The bras and kets of an observable give a completeness relation

$$\int_{-\infty}^{\infty} dp |p\rangle\langle p| = I \quad (46)$$

$$\int_{-\infty}^{\infty} dx' |x'\rangle\langle x'| = I \quad (47)$$

$$\int_0^{\infty} dE |E^+\rangle_{11}\langle^+ E| + \int_0^{\infty} dE |E^+\rangle_{rr}\langle^+ E| = I \quad (48)$$

- Also

$$P = \int_{-\infty}^{\infty} dp p |p\rangle\langle p| \quad (49)$$

$$Q = \int_{-\infty}^{\infty} dx x |x\rangle\langle x| \quad (50)$$

$$H = \int_0^{\infty} dE E |E^+\rangle_{11}\langle^+ E| + \int_0^{\infty} dE E |E^+\rangle_{rr}\langle^+ E| \quad (51)$$

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Steps 2 and 3

Step 3

Step 4

Step 5

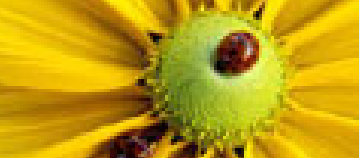
Kets

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Further results

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■ Also

$$\frac{1}{2\pi\hbar} \int_{-\infty}^{\infty} dx e^{i(p-p')x/\hbar} = \delta(p - p') \quad (52)$$

$$\int_{-\infty}^{\infty} dx {}_{\alpha} \langle^{\pm} E' | x \rangle \langle x | E^{\pm} \rangle_{\beta} = \delta(E - E') \delta_{\alpha\beta} \quad (53)$$

■ All these relation hold in the distributional sense, i.e.,

$$P|p\rangle = p|p\rangle \quad (54)$$

means

$$\langle \varphi | P | p \rangle = p \langle \varphi | p \rangle, \quad \varphi \in \Phi \quad (55)$$



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- The RHS, rather than the Hilbert space alone, is the natural mathematical setting for quantum mechanics if the observables have continuous spectrum



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- The RHS, rather than the Hilbert space alone, is the natural mathematical setting for quantum mechanics if the observables have continuous spectrum
- The RHS fully justifies Dirac's bra-ket formalism. In particular, there is a 1:1 correspondence between bras and kets



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Conclusions

- The RHS, rather than the Hilbert space alone, is the natural mathematical setting for quantum mechanics if the observables have continuous spectrum
- The RHS fully justifies Dirac's bra-ket formalism. In particular, there is a 1:1 correspondence between bras and kets
- The procedure outlined in this lecture can be used to obtain the RHS of very many systems



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Conclusions

- The RHS, rather than the Hilbert space alone, is the natural mathematical setting for quantum mechanics if the observables have continuous spectrum
- The RHS fully justifies Dirac's bra-ket formalism. In particular, there is a 1:1 correspondence between bras and kets
- The procedure outlined in this lecture can be used to obtain the RHS of very many systems
- The RHS expresses the physical principles of quantum mechanics better than the Hilbert space